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**Synthesis of mononuclear heterocycles via electrophilic cyclization**  
--Manuscript Draft--

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| <b>Abstract:</b>                                     | The present review covers the scientific literature of the last 15 years on electrophilic cyclization reactions which lead to the formation of a variety of mononuclear heterocyclic compounds: pyrrole, furane, pyrazole, thiazole, oxazole, isoxazole, oxaphosphole, piperidine, pyrane and oxazepane. The majority of the reviewed sources reports studies on the synthesis of five-membered heterocycles. Meanwhile the data on the obtaining of six- and more-membered moieties are lacking. We have discussed the using of different electrophilic agents, catalysts, reaction conditions and synthetic approaches, as well as the possibility of formation of saturated, partially saturated, and aromatic heterocycles. |

Reviewer #1: Please correct the tyomistakes.

Dear reviwer!

Thank you for your comment. We have made all the necessary corrections.

Regards, authors

Reviewer #2:Overall recommendation - major changes, overall rating - 80. This is a valuable review that should be published after addressing the following technical issues: First, the text should be corrected by a chemist fluent in English. Alternatively, the authors should correct the following issues, provided Editor would be willing to address the remaining minor issues in the text.

1. "production" should be replaced by synthesis.
2. page 6, lines 3, 4: awkward sentence.
3. page 8, lines 8-11: fix the tenses.
4. page 15, lines 14, 15: awkward sentence.
5. page 16, lines 1-4: awkward sentence.
6. references: an awkward format with the journal abbreviations without spaces.
7. Is it required?

Dear reviwer!

Thank you for your comments. We have carefully edited our manuscript according to your notes.

Regards, authors

# 1 **Synthesis of mononuclear heterocycles via electrophilic** 2 **cyclization**

3 **Mikhailo Slivka<sup>1</sup> • Nataliya Korol<sup>1</sup>**

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5 Received: ...../Accepted ...

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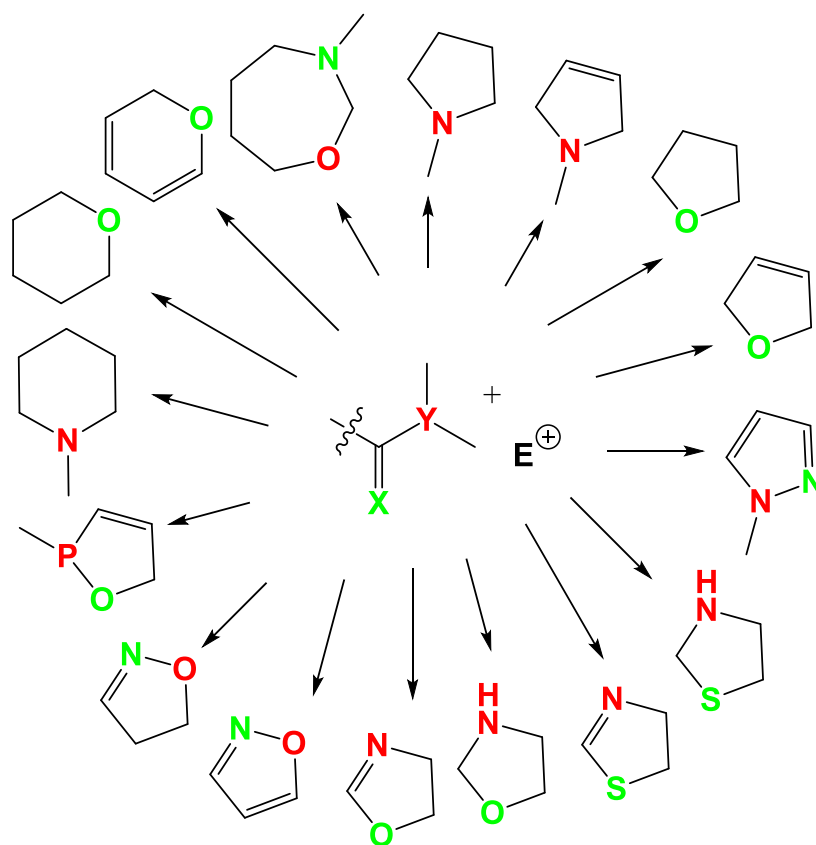
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## 8 **Abstract**

9 The present review covers the scientific literature of the last 15 years on  
10 electrophilic cyclization reactions which lead to the formation of a variety of  
11 mononuclear heterocyclic compounds: pyrrole, furan, pyrazole, thiazole,  
12 oxazole, isoxazole, oxaphosphole, piperidine, pyran, and oxazepane. The  
13 majority of the reviewed sources reports studies on the synthesis of five-  
14 membered heterocycles. Meanwhile the data on the obtaining of six- and  
15 more-membered moieties are lacking. We have discussed the using of  
16 different electrophilic agents, catalysts, reaction conditions, and synthetic  
17 approaches, as well as the possibility of formation of saturated, partially  
18 saturated, and aromatic heterocycles.

19

20 *Graphical abstract*



1

2

3 **Keywords** Heterocycles • Electrophilic cyclizations • Halogenation •

4 Reaction mechanisms • Chemoselectivity

5

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11

## 1 **Introduction**

2 Mono-heterocyclic compounds are known as valuable substrates which  
3 possess a variety of significant properties and they are convenient materials  
4 for further modification [1]. There are a lot of references stating the value of  
5 above heterocycles [2-14]. For example Petri and co-authors reviewed  
6 pyrrole derivatives which exhibit antitumor, antimicrobial, and antiviral  
7 activities [2-5]; anti-inflammatory and antimicrobial effects of furan natural  
8 derivatives were reported by Alizadeh and co-authors [4, 6]; Karrouchi and  
9 co-authors studied pyrazole derivatives which were considered a  
10 pharmacologically important active scaffold [2, 7], bioactive pyrazoline  
11 derivatives were reported by Nehra and co-authors [8]; antibacterial  
12 importance of thiazole and its derivatives was published by Kashyap and co-  
13 authors [3, 9]; Kakkar and Narasimhan described biological activities of  
14 oxazole derivatives [10]; the using of piperidine motif in treatment of cancer  
15 was reviewed by Goel and co-authors [11]; pharmacological interest of  
16 pyrane derivatives was reported by Asif [12]and Koop [13]; Kaur and co-  
17 authors described pharmacological and therapeutic implications of azepines  
18 [14]. There are no current sources that generalize information on the  
19 synthesis of monocyclic non-fused thiazine, thiadiazine, and oxathiazine  
20 systems, which synthesised via electrophilic heterocyclization is reported  
21 herein.

1           As it is known, the electrophilic intramolecular cyclization is one of  
2 the most effective and convenient synthetic technologies for the obtaining  
3 different types of heterocyclic systems. It should be noted that during the last  
4 20 years there are only 3 sources that have systematized studies on the  
5 electrophilic cyclization. Thus Zeni and Larock [15] described data on  
6 palladium-based methodology of electrophilic cyclization of alkynes and  
7 alkenes, Godoi and co-authors [16] reported synthesis of heterocycles via  
8 electrophilic cyclization of alkynes containing heteroatom, Slivka and  
9 Onysko [17] reviewed the electrophilic cyclization method for the  
10 preparation of condensed heterocycles. The current review covers  
11 investigations on the synthetic approaches leading to the formation of mono-  
12 heterocyclic compounds via the electrophilic cyclization over the last 15  
13 years.

14

## 15 **Synthesis of five-membered heterocycles with one heteroatom**

### 16 **Pyrrole synthesis**

17 Pyrrolidin-2-ones **2** were obtained from the styrylacetic acid amides **1**  
18 containing aryl or heteryl substituents in the styrene and the amide fragments  
19 [18-20]. It was established experimentally that amides **1** fully transformed  
20 into target pyrroles **2** during 1 h at heating in PPA at 105-110°C (Scheme 1).

1 The dominant cyclization route is the attack of C<sup>+</sup>-electrophile (formed after  
2 the protonation of the multiple bond) on the amide nitrogen atom.

3

4

< Scheme 1 >

5

6 It has been determined that anilides **1** react with sulfonyl chlorides **3**  
7 in nitromethane or acetic acid in the presence of an equimolar amount of  
8 lithium perchlorate as a “doping additive” to form pyrrolidin-2-ones **4** in a  
9 good yield [21, 22] (Scheme 2). The process is implemented via the scheme,  
10 which contains the pre-dominant formation of the episulfonium intermediate  
11 stabilized by the perchlorate-anion followed by *5-endo*-cyclization onto the  
12 nitrogen atom of the amide group.

13

14

< Scheme 2 >

15

16 A tandem sequence of *5-endo* halolactamization and direct C-H  
17 oxidative functionalization of amides of substituted allylacetic acid **5** with  
18 the using of halogens as electrophiles lead to the synthesis of  $\beta$ -  
19 halopyrrolidinones **7** with satisfactory to excellent yields (Scheme 3) [23].  
20 The reactions of 4-mono- or 4-unsubstituted 2,3-alkadienamides **6** with  
21 CuX<sub>2</sub> also afforded 5-hydroxypyrrol-2(5*H*)-ones **7** via the sequential

1 lactamization and  $\gamma$ -hydroxylation process in aqueous THF [24] (Scheme 3).  
2 Authors [23] proposed the mechanism for the formation of **7** (Scheme 3).  
3 Firstly, the electrophilic attack on the olefin gives intermediates that through  
4 the amide nitrogen give the corresponding lactam. Elimination of hydrogen  
5 halide generates enamide, which undergoes allylic oxidative  
6 functionalization to yield a heterocyclic ammonia cation, further treatment  
7 with water affords pyrrolidinone **7**. Initially, an electrophilic attack on the  
8 olefin gives an intermediate product, which through the amide nitrogen gives  
9 the corresponding lactam. Furthermore the elimination of hydrogen halide  
10 generates an enamide, which is subjected to allylic oxidative  
11 functionalization to yield a heterocyclic ammonia cation, the subsequent  
12 treatment of which with water affords pyrrolidinone **7**.

13

14

&lt; Scheme 3 &gt;

15

16

17

18

19

20

21

A selenium-promoted electrophilic cyclization of *N*-aryl(alkyl) amides **8**, allowing the synthesis of 3-organoselenyl spiro[4,5]trienones **9** via a 5-*endo-dig ipso*-mode, was investigated with the use of diaryldiselenides as the selenium precursors and inexpensive  $K_2S_2O_8$  as the oxidant [25] or phenyl(butyl)selenyl bromides as the electrophilic source [26] (Scheme 4).



1 < Scheme 4 >

2

### 3 **Furan synthesis**

4 Anilides of styrylacetic acids **1** were converted to furanone **10** with  
5 considerable yields (Scheme 5) [18]. The attack on the amide oxygen atom  
6 was activated by the presence of fluoroaryl substituents of compounds **1**.

7

8 < Scheme 5 >

9

10 When the styrylacetamides **1** or allylacetamides **13** reacted with  
11 arylsulfenyl chlorides **3** in acetic acid in the presence of LiClO<sub>4</sub> as a “doping”  
12 agent, a regio- and stereoselective intramolecular cyclization have occurred  
13 at the oxygen atom of the amide group. As a result tetrahydrofuran-2-  
14 iminium perchlorates **11** [27] or *N*-alkyl(aryl)-*N*-[5-  
15 [(arylsulfanyl)methyl]dihydrofuran-2(3*H*)-ylidene]aminium perchlorates **14**  
16 [28] formed (Scheme 6). 5-Aryl-4-(arylthio)tetrahydrofuran-2-ones **12** may  
17 be obtained after chromatographic purification the reaction mixture on silica  
18 gel [20, 22] (Scheme 6). The episulfonium ion attacks the carbonyl oxygen  
19 atom, and this pathway involves intermediate formation of the corresponding  
20 iminium salt, which further undergoes hydrolysis to lactones **12** (Scheme 6).

21

1 < Scheme 6 >

2

3 The interaction between 4,4-disubstituted 2,3-alkadienamides **15** and  
4 copper(II) halides leads to formation of 2,5-dihydrofuranes **16** in high yields  
5 (Scheme 7) [24]. The similar synthesis of substituted 2,5-dihydrofuranes **18**  
6 was provided via the reaction of 4-phosphorylated 5-hydroxypenta-2,3-  
7 dienoates **17** with electrophiles involving *5-endo-trig* cyclization (Scheme  
8 7) [29].

9

10 < Scheme 7 >

11

## 12 **Synthesis of five-membered heterocycles with two**

### 13 **heteroatoms**

#### 14 **Pyrazole synthesis**

15 A simple and efficient method for the synthesis of 4-chalcogenyl pyrazoles  
16 **20** has been developed. According to the study [30], the selenium  
17 electrophiles were generated in situ by the reaction of diorganyldiselenides  
18 with oxone in ethanol and were employed in the selenylation/cyclization of  
19 alkynylhydrazones **19**, giving the compounds **20** in moderate to excellent  
20 yields (Scheme 8). The second approach [31] also includes in situ generation  
21 of S(Se)-electrophiles from the readily available NCS(Se) and arylthiols with

1 further cyclization of  $\alpha,\beta$ -alkynichydrazones **19** which results in synthesis of  
2 4-chalcogenyl pyrazoles **20** (Scheme 8).

3

4 < Scheme 8 >

5

### 6 **Thiazole synthesis**

7 Compounds **21** undergo cyclization with the formation of 2-ylidene-  
8 substituted 5-halogenomethylthiazolidine hydrohalides **22** [32, 33] or 5-  
9 halogenomethyldihydrothiazole hydrohalides **23** [34, 35] under the action of  
10 halogens, thionyl chloride, or concentrated hydrochloric acid (Scheme 9).

11

12 < Scheme 9 >

13

### 14 **Oxazole synthesis**

15 Carboxamides **24** react with polyphosphoric acid [36] to afford the  
16 dihydrooxazole ring containing a methyl group in the 5-position of target  
17 oxazolines **25** (Scheme 10). The electrophilic cyclization of carboxamides  
18 **24** to the oxazolines **26** is also possible via the action of *N*-halosuccinimides  
19 [36], or via arylsulfenylation [37], or selenide-catalyzed  
20 trifluoromethylthiolation [38] (Scheme 10).

21

1 < Scheme 10 >

2

3 A Lewis acid catalyzed synthesis of oxazoles **29** was reported from  
4 readily accessible alkynols **27** and N,O-aminols **28** [39] (Scheme 11). This  
5 method operates by an electrophilic carbofunctionalization pathway under  
6 mild conditions.

7

8 < Scheme 11 >

9

## 10 **Isoxazole synthesis**

11 An efficient method for the synthesis of 4-sulfenyl isoxazoles **31** has been  
12 developed via AlCl<sub>3</sub>-mediated electrophilic cyclization/sulfenylation of 2-  
13 alkyne-1-one *O*-methyloximes **30** (Scheme 12). Remarkably, *N*-  
14 arylsulfanylsuccinimides are employed as electrophiles for the construction  
15 of 4-arylsulfanyl isoxazoles; 4-alkylsulfanyl isoxazoles are accessed with  
16 employing dialkyl disulfides as electrophiles [40]. The activation of *N*-  
17 arylsulfanylsuccinimides or disulfides with AlCl<sub>3</sub> would give the sulfenium  
18 cation, which could react with C-C triple bond to form an intermediate.  
19 Subsequently, intramolecular cyclization via nucleophilic attack of oxygen  
20 to the activated C<sub>sp</sub> results in the formation of the corresponding

1 intermediate, which undergoes demethylation to afford the desired 4-  
2 isoxazolyl sulfides **31** (Scheme 12).

3

4

< Scheme 12 >

5

6 Chalcogen-functionalized isoxazolines **33** and **34** were prepared by  
7 the reaction of  $\beta,\gamma$ -unsaturated oximes **32** with selenium and tellurium  
8 electrophiles in good yields after 1 hour at room temperature (Scheme 13).  
9 Selenium- and tellurium-containing isoxazolines **33** were obtained under the  
10 action of corresponding arylchalcogen halides, while the (bis)isoxazoline  
11 ditellurides **34** were synthesized by using the tellurium tetrachloride [38].

12

13

< Scheme 13 >

14

### 15 **Oxaphosphole synthesis**

16 The competitive electrophilic cyclization of phosphorylated dienoates **17**  
17 involving *5-endo-trig*-cyclization was reported [29, 42]. The reaction  
18 produces oxaphospholes **35** in moderate yields (Scheme 14). It was noted,  
19 that the reaction stops in the stage of formation of the cyclic phosphonium  
20 salts **36** if Y=Ph [29].

21

1 < Scheme 14 >

2

### 3 **Synthesis of six-membered heterocycles with one heteroatom**

#### 4 **Piperidine synthesis**

5 *N*-Alkyl(aryl)amides of cinnamylacetic acid **37** with arylsulfenyl chlorides **3**  
6 are subjected to selective cyclization into 5-arylsulfanyl-6-phenylpiperidin-  
7 2-ones **38** (Scheme 15) [43, 44]. The reaction can be carried out in acetic  
8 acid medium in the presence of lithium perchlorate [43] or in chloroform in  
9 the absence of lithium perchlorate [44]. In the course of the intramolecular  
10 electrophilic cyclization the episulfonium ring of the intermediate is attacked  
11 by the nitrogen atom to the formation of compounds **38**.

12

13 < Scheme 15 >

14

#### 15 **Pyrane synthesis**

16 The reaction between arylsulfenyl chlorides **3** and amides **37** at the nitrogen  
17 atom selectively resulted in 5-arylsulfanyl-6-phenyltetrahydropyran-2-  
18 iminium perchlorates **39** in good yields (Scheme 16) [43]. Reaction  
19 mechanism is characterized by the nucleophilic attack of the oxygen atom of  
20 the initial amides.

21

1 < Scheme 16 >

2

3 Sonogashira coupling reaction with N-substituted 3-iodovinyl ester  
4 **40** leads to the formation of corresponding intermediate that undergoes  
5 intramolecular iodocyclization (Scheme 17) resulted in substituted amino-  
6 containing 2*H*-pyran-2-ones **41** in good yields [45].

7

8 < Scheme 17 >

9

## 10 **Synthesis of seven-membered heterocycles with two** 11 **heteroatoms**

### 12 **Oxazepane synthesis**

13 Substituted 1,3-oxazepane **43** was obtained in excellent yield via Lewis-  
14 catalyzed electrophilic carbofunctionalization pathway under mild  
15 conditions (Scheme 18) [39].

16

17 < Scheme 18 >

18

19 This protocol has been already mentioned for the synthesis of oxazoles  
20 (Scheme 11).

21

## 1 **Conclusion**

2 The present review summarizes the literature data for the last 15 years on  
3 electrophilic cyclization reactions leading to the formation of mono-  
4 heterocyclic systems. The reaction mechanism and chemoselectivity are  
5 discussed. The variety of starting materials for further cyclization and the  
6 diversity of used electrophilic agents were also highlighted. Thus,  
7 substituted unsaturated acid amides, acid esters, hydrazones,  
8 isothiocyanates, and oximes were found among the classes of compounds  
9 that underwent the cyclization process. Polyphosphoric acid, thionyl  
10 chloride, halogens, alkyl(aryl)chalcogen halides, chalcogen tetrahalides,  
11 copper(II) halides, N-substituted succinimides, or N,O-aminoles can be  
12 chosen as electrophilic reagents. All obtained heterocycles are attractive to  
13 further investigation with special attention to their possible application.

14

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16 Education and Science of Ukraine (State Budget Projects 0119U100232 and  
17 0120U100431).

18

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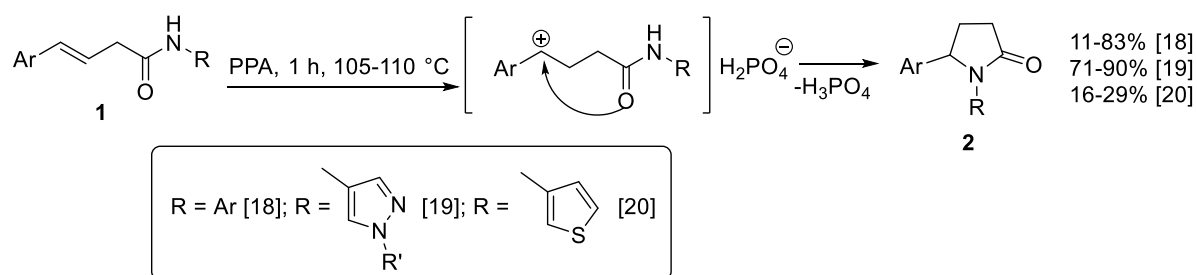


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1 *Scheme 1*

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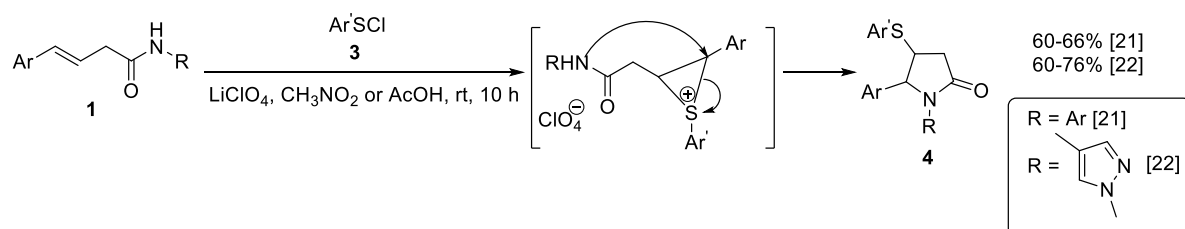
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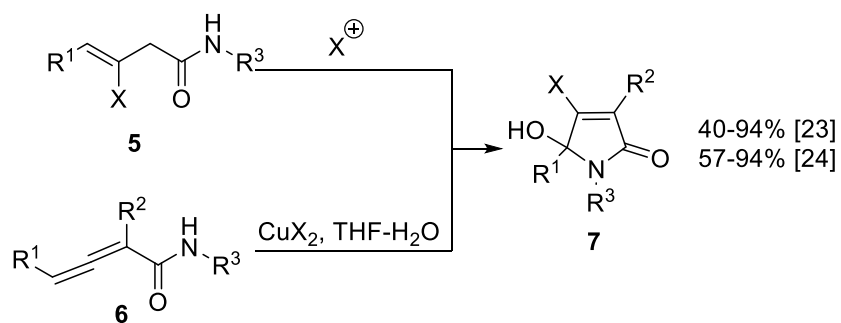
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8 *Scheme 2*

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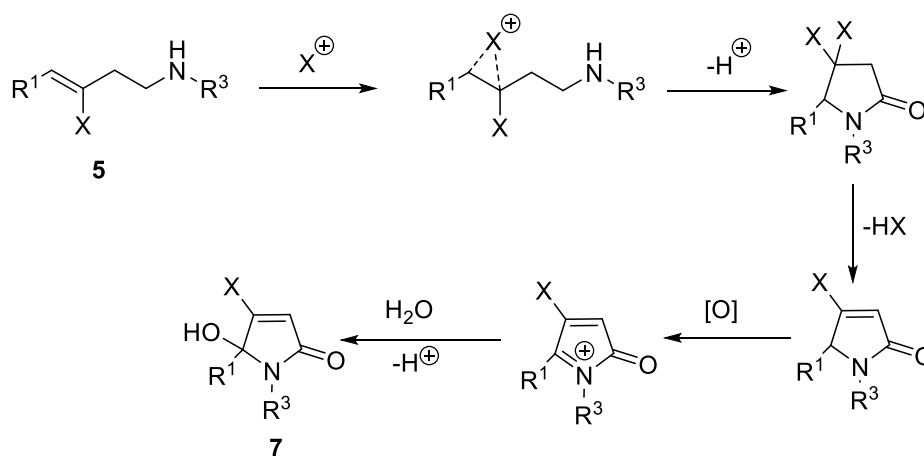
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1 *Scheme 3*

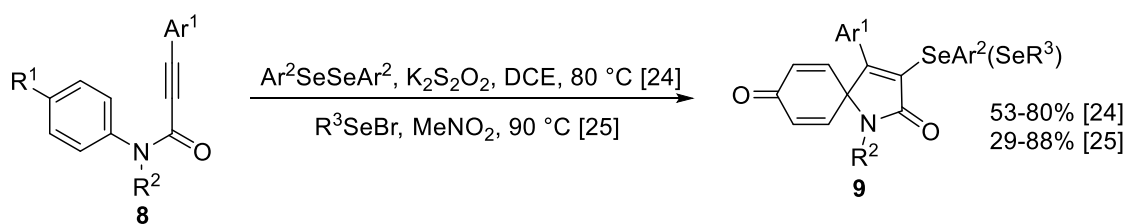
$X = I, Br, Cl$

$R^1 = \text{alkyl, Ph}; R^2 = Me, H; R^3 = \text{alkyl, aryl}$



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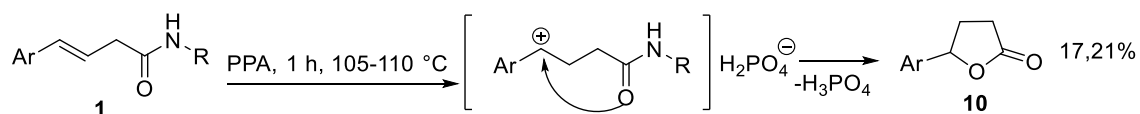
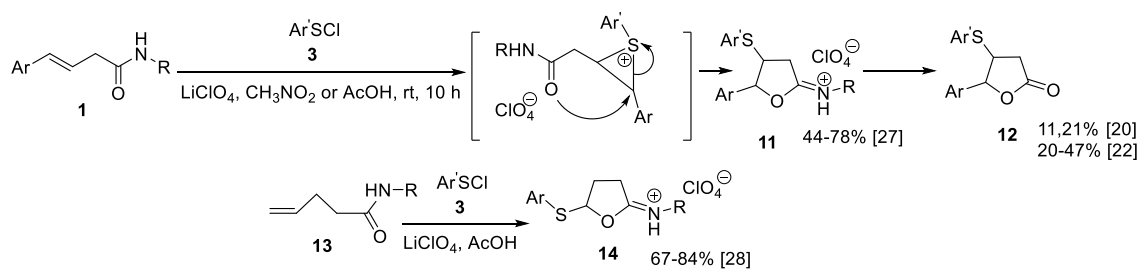
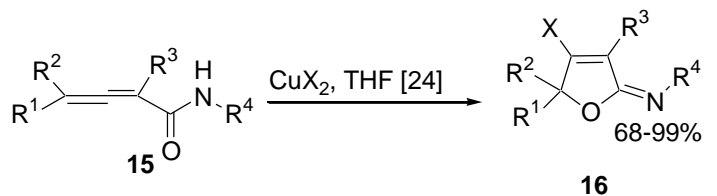
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4 *Scheme 4*

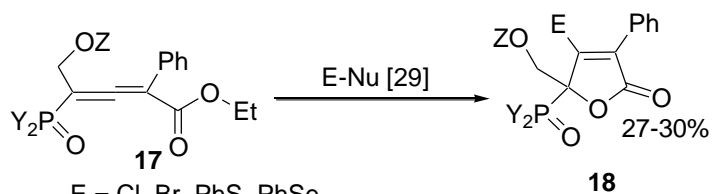
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$R^1 = OMe, F; R^2 = Me, Bu; R^3 = \text{aryl, Bu}$

6

1 *Scheme 5*4 *Scheme 6*7 *Scheme 7*

X = Br, Cl

R<sup>1</sup> = Me, Ph; R<sup>2</sup> = Me, H; R<sup>3</sup> = H, Bn, Me; R<sup>4</sup> = Bn, *n*-Bu

E = Cl, Br, PhS, PhSe

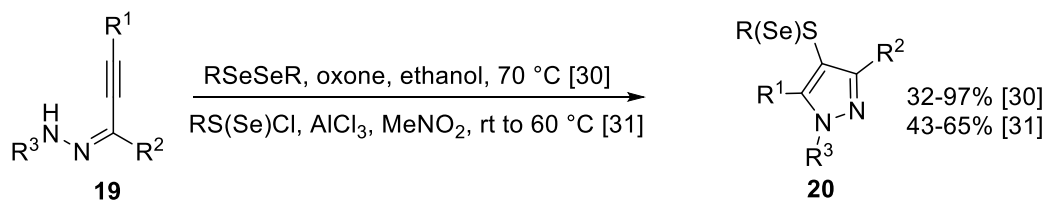
Nu = Cl, Br

Y = MeO, Ph

Z = H, THP

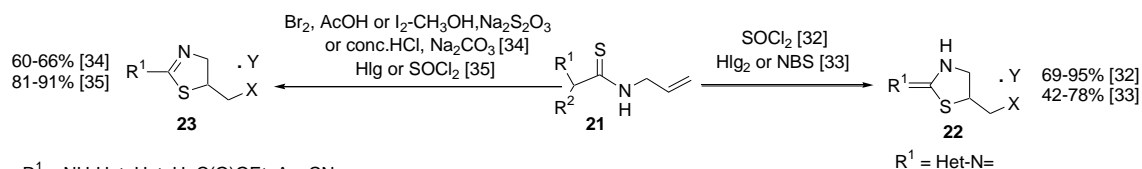
8

9

1 *Scheme 8*2  $\text{R}^1 = \text{alkyl, aryl}; \text{R}^2 = \text{H, alkyl, aryl}; \text{R}^3 = \text{aryl}; \text{R} = \text{alkyl, aryl}$ 

3

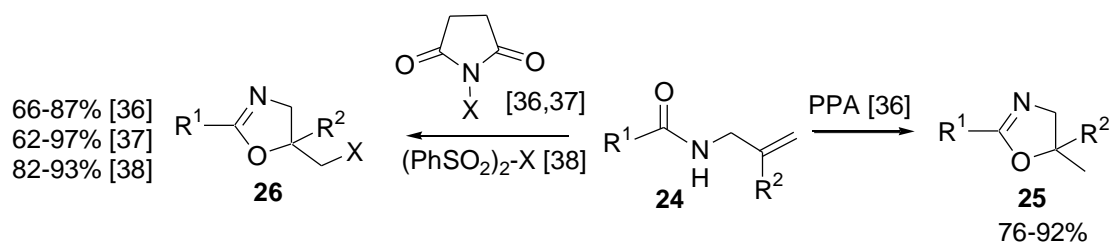
4

5 *Scheme 9* $\text{R}^1 = \text{NH-Het, Het, H, C(O)OEt, Ac, CN}$  $\text{R}^2 = \text{C(O)OEt, Ac, CN}$  $\text{X} = \text{Cl, I, Br}$  $\text{Y} = \text{HCl, HBr}_3, \text{HI}_3$ 

6

7

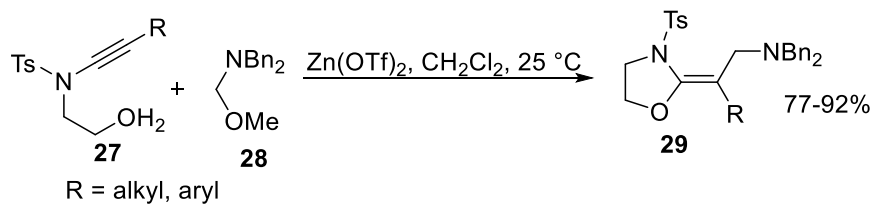
8

9 *Scheme 10* $\text{R}^1 = \text{Het, Ar}$  $\text{R}^2 = \text{H, Ar}$  $\text{X} = \text{I, Br, SPh, SCF}_3$ 

10

11

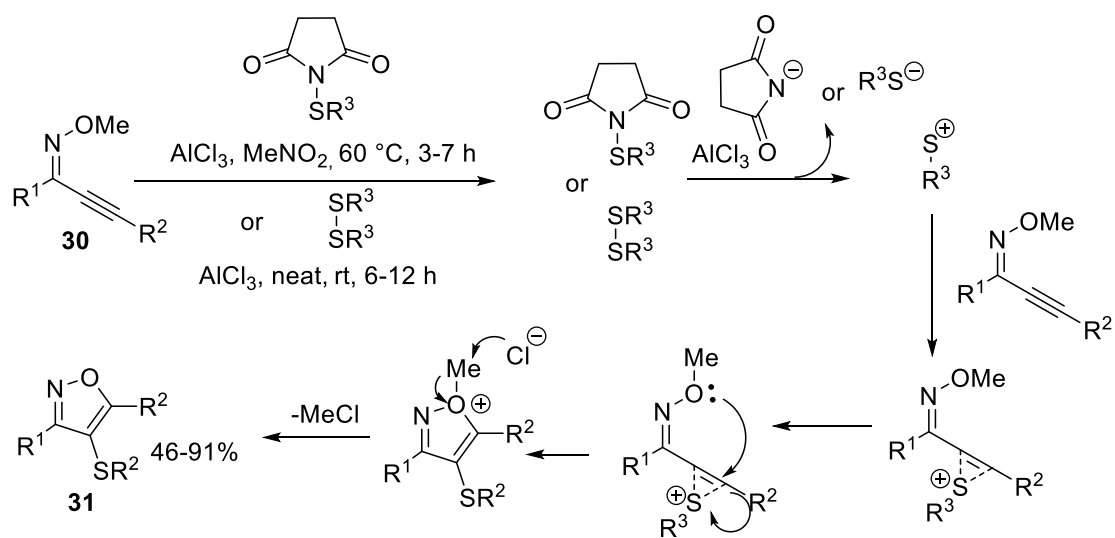


1 *Scheme 11*

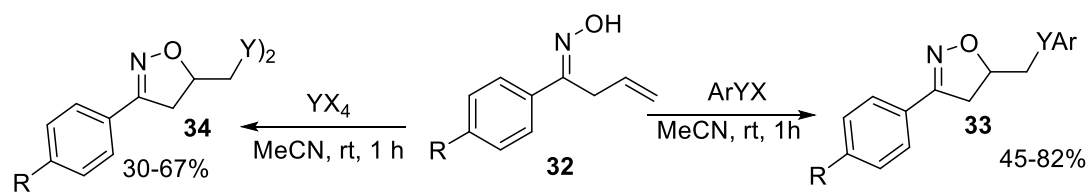
2

3

4

5 *Scheme 12*6 R<sup>1</sup> = alkyl, aryl; R<sup>2</sup> = alkyl, aryl, Het; R<sup>3</sup> = aryl, Et, Me

7

1 *Scheme 13*

R = H, Br, Cl, F, Me, MeO

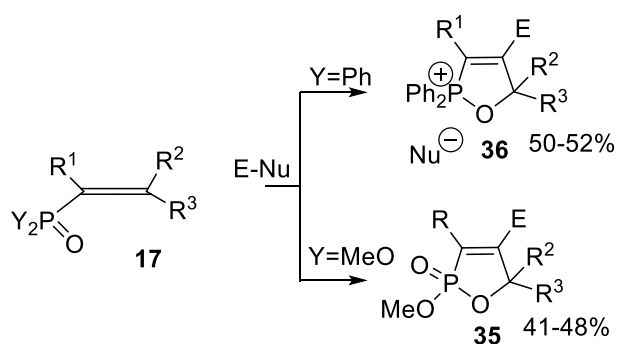
Y = Se, Te

X = Cl, I

2

3

4

5 *Scheme 14*

E = Cl, Br, MeS, PhS, PhSe

Nu = Cl, Br

Y = MeO, Ph

Z = H, THP

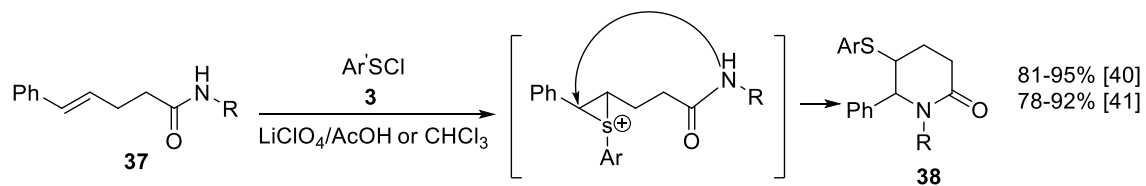
R<sup>1</sup> = CH<sub>2</sub>OZ, H, Pr, Bu, Ph

R<sup>2</sup> = Me, Ph

R<sup>3</sup> = C(O)OEt, C(Me)<sub>2</sub>CO<sub>2</sub>Me, CH(Et)<sub>2</sub>CO<sub>2</sub>Et

6

7

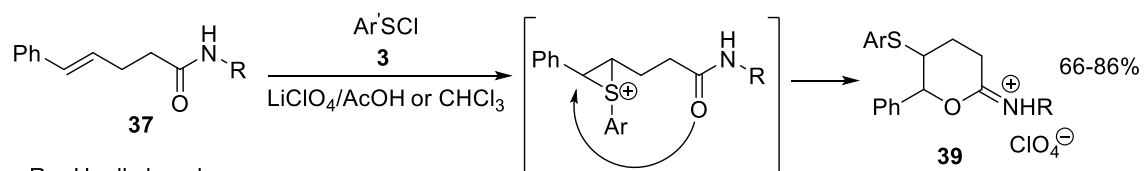
1 *Scheme 15*

2 R = H, alkyl, aryl

3

4

5

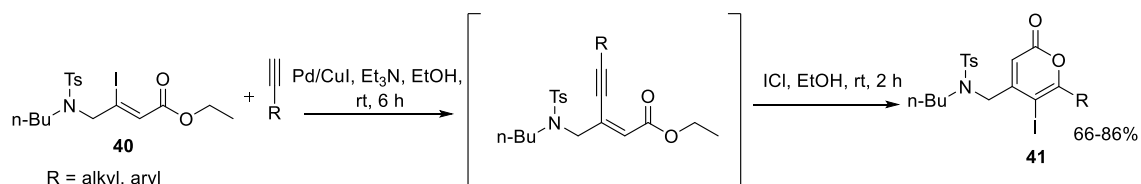
6 *Scheme 16*

7 R = H, alkyl, aryl

8

9

10

11 *Scheme 17*

12 R = alkyl, aryl

13

14

1 *Scheme 18*